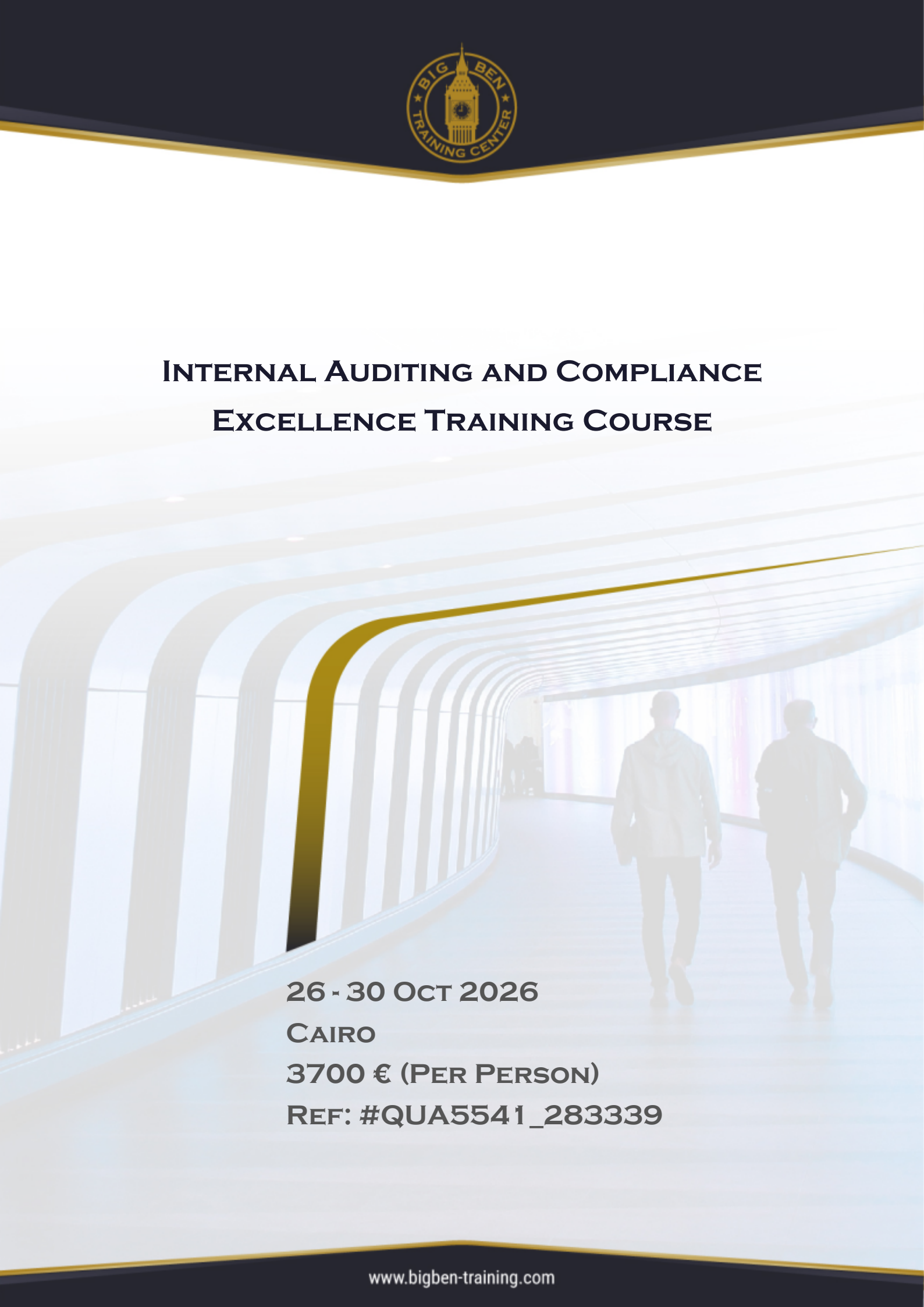
Internal Auditing and Compliance Excellence Training Course



26 - 30 Oct 2026

Cairo

3700 € (Per Person)

Ref: #QUA5541_283339

Course Introduction / Overview:

In today's complex regulatory landscape, the dual functions of internal audit and compliance are more critical than ever for organizational integrity and success. This comprehensive training course is meticulously designed to equip professionals with the advanced skills needed to conduct effective internal audits and ensure robust compliance frameworks. Moving beyond simple checklist auditing, the program delves into a risk-based approach, empowering participants to identify, assess, and mitigate risks that threaten organizational objectives. Drawing upon foundational principles articulated by pioneers like Lawrence Sawyer in works such as "Sawyer's Internal Auditing," the course emphasizes the auditor's role as a trusted advisor who adds tangible value. Participants will learn to navigate the intricacies of quality management systems, regulatory requirements, and corporate governance standards. At BIG BEN Training Center, we provide a dynamic learning environment that transforms theoretical knowledge into practical, actionable expertise, preparing you to lead with confidence and drive a culture of continuous improvement and ethical compliance within your organization.

Target Audience / This training course is suitable for:

- Internal Auditors at all levels.
- Compliance Officers and Managers.
- Quality Assurance Professionals and Managers.
- Risk Management Professionals.
- Finance and Accounting Managers.
- Operations and Departmental Heads.
- Legal and Corporate Governance Staff.
- Individuals aspiring to a career in audit or compliance.

Target Sectors and Industries:

- Banking, Finance, and Insurance Services.
- Healthcare and Pharmaceutical Industries.
- Manufacturing and Engineering.
- Information Technology and Telecommunications.
- Governmental Agencies and Public Sector Organizations.
- Energy, Oil, and Gas Sector.
- Retail and Consumer Goods.
- Consulting and Professional Services Firms.

Target Organizations Departments:

- Internal Audit Department.
- Compliance and Ethics Department.
- Quality Assurance and Control Department.
- Risk Management Department.
- Finance and Accounting Department.
- Legal and Regulatory Affairs Department.
- Operations Management.
- Corporate Governance and Secretariat.

Course Offerings:

By the end of this course, the participants will have able to:

- Develop a comprehensive risk-based internal audit plan aligned with organizational goals.
- Master various audit fieldwork techniques for gathering sufficient and appropriate evidence.
- Evaluate the design and operational effectiveness of internal controls.
- Assess organizational compliance with relevant laws, regulations, and standards.
- Identify key indicators of fraud and develop procedures for its detection.
- Write clear, concise, and impactful audit reports that drive corrective action.
- Effectively communicate audit findings and recommendations to management and stakeholders.
- Facilitate the development and follow-up of corrective and preventive action plans.
- Apply the principles of leading quality management systems like ISO 9001.
- Enhance their professional judgment, skepticism, and ethical conduct in all audit activities.

Course Methodology:

The training methodology at BIG BEN Training Center is designed to be highly interactive, practical, and engaging, ensuring that participants can immediately apply their learning in the workplace. We move beyond traditional lectures to create a collaborative learning environment. The course heavily utilizes real-world case studies and business scenarios to challenge participants to solve complex audit and compliance issues. Interactive group discussions and brainstorming sessions encourage the sharing of diverse experiences and perspectives. Practical workshops and role-playing exercises will be used to simulate audit interviews, risk assessment meetings, and the presentation of audit findings, allowing for skill development in a controlled setting. Participants will receive constructive feedback from the instructor and peers throughout the course. This hands-on approach, combining expert instruction with practical application, guarantees a comprehensive understanding of internal auditing and compliance, fostering the critical thinking and problem-solving skills essential for professional excellence.

Course Agenda (Course Units):

Unit One: Foundations of Modern Internal Auditing and Compliance

- The Evolving Role of the Internal Auditor in Corporate Governance.
- Core Principles and International Standards for the Professional Practice of Internal Auditing (IIA Standards).
- Understanding the COSO Internal Control and ERM Frameworks.
- The Synergy Between Internal Audit, Risk Management, and Compliance Functions.
- Establishing an Ethical Culture and a Professional Code of Conduct.
- Introduction to Quality Management Systems (QMS) and ISO 9001.
- The Regulatory Environment and its Impact on Compliance Obligations.

Unit Two: Strategic Audit Planning and Risk Assessment

- Adopting a Risk-Based Approach to Internal Auditing.
- Techniques for Identifying and Assessing Business Risks.
- Developing the Annual Internal Audit Plan.
- Scoping and Defining Objectives for Individual Audit Engagements.
- Creating a Detailed Audit Program and Work Papers.
- Resource Planning and Budgeting for the Audit Function.
- Communicating the Audit Plan to the Audit Committee and Senior Management.

Unit Three: Audit Execution and Fieldwork Techniques

- Techniques for Gathering Sufficient, Reliable, and Relevant Audit Evidence.
- Mastering Process Walkthroughs and Documentation Review.
- Conducting Effective Audit Interviews and Inquiry.
- Applying Statistical and Non-Statistical Audit Sampling Methods.
- Data Analytics and Computer-Assisted Audit Techniques (CAATs).
- Evaluating Business Processes and Identifying Control Gaps.
- Documenting Fieldwork and Maintaining Professional Audit Trails.

Unit Four: Evaluating Compliance and Investigating Irregularities

- Auditing for Compliance with Laws, Regulations, and Internal Policies.
- Testing the Design and Effectiveness of Key Internal Controls.
- Introduction to Fraud Risk Assessment and Detection Techniques.
- Recognizing Red Flags and Indicators of Potential Fraud.
- Conducting Preliminary Investigations and Evidence Preservation.
- Auditing IT General Controls and Application Controls.
- Assessing Third-Party and Vendor Compliance Risks.

Unit Five: Reporting, Follow-Up, and Stakeholder Communication

- Structuring and Writing Effective Internal Audit Reports.
- Formulating Clear, Actionable, and Value-Added Recommendations.
- Techniques for Conducting Closing Meetings with Management.
- Presenting Audit Findings to Senior Leadership and the Audit Committee.
- Developing a Robust System for Tracking and Following Up on Audit Issues.
- Measuring the Performance and Value of the Internal Audit Function.
- Building Constructive Relationships with Stakeholders Across the Organization.

FAQ:

Qualifications required for registering to this course?

There are no requirements.

How long is each daily session, and what is the total number of training hours for the course?

This training course spans five days, with daily sessions ranging between 4 to 5 hours, including breaks and interactive activities, bringing the total duration to 20 - 25 training hours.

Something to think about:

As technology and AI increasingly automate control testing, how must the internal auditor's role evolve from a historical reviewer to a proactive strategic advisor?

What unique qualities does this course offer compared to other courses?

This course distinguishes itself by providing a deeply integrated perspective on internal audit and compliance, treating them not as separate silos but as interconnected pillars of strong corporate governance. While many programs focus narrowly on one area, our curriculum is designed to build professionals who can navigate the complex interplay between quality standards, regulatory mandates, and internal controls. The emphasis is placed firmly on practical application and strategic thinking. Instead of merely memorizing standards, participants engage with complex, real-world case studies that challenge them to apply risk assessment techniques, exercise professional judgment, and develop pragmatic solutions. We focus on cultivating the communication and influencing skills necessary to transform the audit function from a simple "checking" exercise into a value-added business partnership. The curriculum moves beyond theory to equip participants with the ability to deliver insightful analysis and actionable recommendations that drive meaningful organizational improvement, positioning them as trusted advisors to management and the board.