Integrated Risk Management and Compliance Frameworks Training Course



23 - 27 Nov 2026

Madrid

5900 € (Per Person)

Ref: #PLA1439_128108

Course Introduction / Overview:

In today's complex and interconnected business environment, managing risk and ensuring compliance are no longer separate functions but are integral components of strategic success. This course provides a comprehensive, integrated approach to Enterprise Risk Management (ERM) and compliance, moving beyond traditional siloed methods. Participants will explore how to build and implement robust frameworks that not only mitigate threats but also identify opportunities and drive organizational value. Drawing on principles from established models like the COSO framework and insights from thought leaders such as Norman Marks, who advocates for risk management that helps achieve objectives, this program emphasizes a proactive and strategic mindset. As detailed in his works on risk and auditing, the goal is to embed risk and compliance considerations into the core of decision-making processes. BIG BEN Training Center has designed this course to equip professionals with the practical skills needed to navigate the intricate regulatory landscape, foster a strong risk-aware culture, and align risk appetite with strategic goals. This training is essential for transforming risk and compliance functions from a necessary cost into a strategic asset that enhances resilience, performance, and stakeholder confidence in a dynamic global market.

Target Audience / This training course is suitable for:

- Risk Management Professionals.
- Compliance Officers and Managers.
- Internal and External Auditors.
- Legal Counsel and Corporate Lawyers.
- Senior Executives and Board Members.
- Finance Directors and Managers.
- Operations and Departmental Heads.
- Corporate Governance Professionals.
- IT Managers responsible for cybersecurity and data privacy.
- Project Managers and Business Analysts.

Target Sectors and Industries:

- Banking, Finance, and Insurance Services.
- Healthcare and Pharmaceutical Industries.
- Energy, Oil, and Gas Sectors.
- Telecommunications and Technology.
- Manufacturing and Engineering.
- Governmental Bodies and Public Sector Agencies.
- Retail and Consumer Goods.
- Transportation and Logistics.
- Consulting and Professional Services.
- Non-Profit Organizations.

Target Organizations Departments:

- Risk Management Department.
- Compliance Department.
- Internal Audit Department.
- Legal and Corporate Secretariat.
- Finance and Accounting.
- Operations Management.
- Information Technology and Security.
- Strategic Planning and Business Development.
- Human Resources.
- Executive Management.

Course Offerings:

By the end of this course, the participants will have able to:

- Develop and implement a comprehensive Enterprise Risk Management (ERM) framework.
- Design and manage an effective corporate compliance program.
- Conduct thorough risk assessments using qualitative and quantitative techniques.
- Define and articulate the organization's risk appetite and tolerance levels.
- Integrate risk management and compliance activities to eliminate redundancies.
- Enhance corporate governance structures and board-level risk oversight.
- Foster a proactive and positive risk culture throughout the organization.
- Develop Key Risk Indicators (KRIs) for effective risk monitoring and reporting.
- Manage regulatory changes and ensure ongoing compliance.
- Align risk and compliance strategies with overall business objectives.

Course Methodology:

The training methodology at BIG BEN Training Center is designed to be highly interactive, practical, and engaging, ensuring that participants can immediately apply their learning in a professional context. We move beyond traditional lectures to create a dynamic learning environment that fosters deep understanding and skill development. The course heavily relies on real-world case studies and business scenarios, allowing participants to analyze complex risk and compliance challenges and formulate effective solutions. Facilitated group discussions and collaborative workshops encourage the sharing of diverse perspectives and experiences, enriching the learning process for everyone. Practical exercises, such as developing a risk register or designing a compliance monitoring plan, provide hands-on experience. Role-playing activities will simulate board meetings and regulatory interactions, building confidence and communication skills. Our expert instructors provide continuous feedback and guidance, ensuring that theoretical concepts are firmly linked to practical application. This blended approach guarantees a comprehensive and impactful training experience that equips participants with actionable tools and strategies for their organizations.

Course Agenda (Course Units):

Unit One: Foundations of Integrated Governance, Risk, and Compliance (GRC)

- The Modern Risk and Regulatory Landscape.
- Defining Governance, Risk Management, and Compliance.
- The Strategic Importance of an Integrated GRC Approach.
- Key Frameworks and Standards (COSO, ISO 31000).
- Understanding the Three Lines of Defense Model.
- Roles and Responsibilities in Risk and Compliance Management.
- The Link Between Corporate Strategy and Risk Management.

Unit Two: Building the Enterprise Risk Management (ERM) Framework

- Establishing the Context for Risk Management.
- The ERM Implementation Process from Start to Finish.
- Conducting a Comprehensive Risk Identification Process.
- Techniques for Risk Analysis and Evaluation.
- Defining and Communicating Risk Appetite and Tolerance.
- Developing Effective Risk Treatment and Mitigation Plans.
- Creating a Practical and Actionable Risk Register.

Unit Three: Designing a Robust Compliance Management System (CMS)

- Core Elements of an Effective Compliance Program.
- Developing and Implementing Compliance Policies and Procedures.
- Conducting a Compliance Risk Assessment.
- Establishing Effective Communication and Training Programs.
- Implementing Monitoring, Auditing, and Testing Protocols.
- Managing Regulatory Investigations and Reporting Obligations.
- Leveraging Technology for Compliance Management.

Unit Four: Advanced Risk Topics and Internal Controls

- Managing Operational, Financial, and Strategic Risks.
- Introduction to Cybersecurity and Data Privacy Risk Management.
- Third-Party and Supply Chain Risk Management Strategies.
- Designing and Implementing an Internal Control Framework.
- Control Self-Assessment (CSA) Techniques.
- Fraud Risk Management and Prevention.
- Business Continuity and Crisis Management Planning.

Unit Five: Cultivating Culture, Reporting, and Strategic Alignment

- The Role of Leadership in Fostering a Strong Risk Culture.
- Measuring and Influencing Ethical and Compliant Behavior.
- Developing Effective Risk and Compliance Reporting for Stakeholders.
- Presenting Risk Information to the Board and Senior Management.
- Aligning GRC Activities with Strategic Planning and Performance.
- The Future of Risk Management and Compliance.
- Final Course Review and Action Planning Workshop.

FAQ:

Qualifications required for registering to this course?

There are no requirements.

How long is each daily session, and what is the total number of training hours for the course?

This training course spans five days, with daily sessions ranging between 4 to 5 hours, including breaks and interactive activities, bringing the total duration to 20 - 25 training hours.

Something to think about:

How can an organization transform its compliance function from a cost center into a strategic partner that drives value and competitive advantage?

What unique qualities does this course offer compared to other courses?

This course distinguishes itself by championing a truly integrated and strategic approach to risk and compliance, a perspective often fragmented in other programs. While many courses teach risk management and compliance as separate, reactive functions, we focus on their powerful synergy as drivers of business strategy and performance. Our curriculum is built on the principle that effective GRC is not about creating restrictive controls but about enabling informed, risk-aware decision-making that fosters innovation and sustainable growth. We move beyond theoretical frameworks to provide a holistic view, emphasizing the critical role of leadership in cultivating a robust risk culture, which is the true bedrock of any successful framework. The content delves into the practicalities of aligning risk appetite with strategic objectives, ensuring that risk management becomes an integral part of the business planning cycle rather than an isolated administrative task. Participants will leave not just with knowledge of standards like COSO and ISO 31000, but with a strategic mindset to transform their GRC functions into value-creating assets that provide a clear competitive edge in a volatile market.