KSA Compliance Leadership and Regulatory Standards Training Course

02 - 06 Nov 2026

Casablanca

4500 € (Per Person)

Ref: #LE2807_286573

Course Introduction / Overview:

This comprehensive training course is designed to equip leaders and professionals with the essential knowledge and skills to navigate the complex regulatory landscape of the Kingdom of Saudi Arabia. In an era of dynamic economic growth driven by Vision 2030, maintaining robust corporate governance and an effective compliance framework is no longer optional but a strategic imperative. This program delves deep into the core principles of compliance leadership, exploring how to build and sustain an ethical organizational culture from the top down. As discussed by author Eugene Soltes in his book "Why They Do It: Inside the Mind of the White-Collar Criminal," understanding the behavioral aspects of non-compliance is crucial for prevention. This course, offered by BIG BEN Training Center, bridges the gap between theoretical knowledge of Saudi Arabian laws and the practical application of a Compliance Management System (CMS). Participants will gain mastery over key regulatory areas, including anti-money laundering (AML), anti-bribery and corruption (ABC), data privacy, and sector-specific regulations from bodies like SAMA and the CMA. The curriculum is structured to empower attendees to not only meet current regulatory standards but also to anticipate and manage future regulatory changes, thereby protecting their organizations from legal, financial, and reputational risks.

Target Audience / This training course is suitable for:

- Compliance Officers and Managers.
- Legal Counsel and Corporate Lawyers.
- Risk Management Professionals.
- Internal and External Auditors.
- Board Members and Directors.
- C-Level Executives (CEOs, CFOs, COOs).
- Heads of Departments and Senior Managers.
- Human Resources Directors.
- Government Relations Managers.
- Entrepreneurs and Business Owners operating in KSA.

Target Sectors and Industries:

- Banking and Financial Services.
- Oil, Gas, and Petrochemicals.
- Healthcare and Pharmaceuticals.
- Telecommunications and Technology.
- Construction and Real Estate Development.
- Manufacturing and Industrial Sectors.
- Retail and Consumer Goods.
- Governmental bodies and public sector organizations.
- Hospitality and Tourism.
- Logistics and Transportation.

Target Organizations Departments:

- Legal and Compliance Department.
- Risk Management Department.
- Internal Audit and Control Department.
- Finance and Accounting Department.
- Human Resources Department.
- Executive Management and Board of Directors.
- Operations and Business Units.
- Procurement and Supply Chain Management.
- Information Technology and Data Security.
- Corporate Governance and Secretariat.

Course Offerings:

By the end of this course, the participants will have able to:

- Analyze the key components of the Saudi Arabian regulatory and legal framework.
- Develop and implement a comprehensive and effective corporate compliance program.
- Master the principles of ethical leadership and foster a strong culture of compliance.
- Conduct thorough compliance risk assessments tailored to the KSA business environment.
- Navigate the specific requirements of AML, ABC, and data privacy laws in the Kingdom.
- Manage internal investigations and respond effectively to regulatory inquiries.
- Implement robust monitoring, auditing, and reporting mechanisms for compliance.
- Lead organizational change in response to evolving regulatory standards.
- Advise senior management on compliance strategy and risk mitigation.
- Align the organization's compliance efforts with the objectives of Saudi Vision 2030.

Course Methodology:

The training methodology employed by BIG BEN Training Center is designed to be highly interactive, practical, and engaging, ensuring that participants can immediately apply their learning in their professional roles. We move beyond traditional lectures to create a dynamic learning environment that fosters deep understanding and skill development. The course heavily relies on real-world case studies drawn from the Saudi Arabian business context, allowing participants to analyze complex compliance challenges and develop actionable solutions. Interactive group discussions, workshops, and role-playing scenarios will be used to explore leadership dilemmas and ethical decision-making processes. Participants will work in teams on practical exercises, such as developing a compliance risk matrix or drafting a whistleblowing policy, to reinforce key concepts. Expert facilitators will guide sessions, providing personalized feedback and encouraging peer-to-peer learning. The program integrates a blend of theoretical knowledge with hands-on application, ensuring that attendees leave not just with information, but with the confidence and competence to lead compliance initiatives effectively within their organizations.

Course Agenda (Course Units):

Unit One: The Regulatory and Compliance Landscape in Saudi Arabia

- Introduction to Corporate Governance and Compliance in KSA.
- Overview of the Saudi Arabian Legal System and Key Legislative Instruments.
- Roles and Mandates of Key Regulatory Bodies (SAMA, CMA, GAC, ZATCA).
- Understanding the Impact of Saudi Vision 2030 on Regulatory Expectations.
- Core Principles of an Effective Compliance Management System (CMS).
- The Role and Responsibilities of the Compliance Officer and Board.
- Establishing a Framework for Regulatory Intelligence and Change Management.

Unit Two: Building and Implementing a Robust Compliance Program

- Conducting a Comprehensive Compliance Risk Assessment.
- Drafting and Implementing Effective Compliance Policies and Procedures.
- Developing a Code of Conduct and Business Ethics.
- Implementing Due Diligence Processes for Third Parties and Partners.
- Designing and Delivering Effective Compliance Training and Awareness Programs.
- Establishing Clear Lines of Communication and Reporting Channels.
- Integrating Compliance into Business Operations and Strategy.

Unit Three: Leadership, Culture, and Ethical Governance

- The Leader's Role in Championing a Culture of Integrity (Tone at the Top).
- Strategies for Embedding Ethical Decision-Making Across the Organization.
- Managing Conflicts of Interest and Gifts and Hospitality Policies.
- Developing and Managing a Whistleblowing and Non-Retaliation Program.
- Techniques for Communicating Compliance Expectations Effectively.
- Measuring and Monitoring the Health of the Organizational Ethical Culture.
- Handling Resistance and Driving Compliance Program Adoption.

Unit Four: Deep Dive into Key KSA Compliance Regulations

- Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF) Framework.
- Navigating the Anti-Bribery and Corruption (ABC) Laws in KSA.
- Understanding the Personal Data Protection Law (PDPL) and its Requirements.
- Competition Law and Anti-Trust Regulations.
- Key Aspects of Saudi Labor Law Compliance for Employers.
- Sector-Specific Regulations for Financial Institutions and Listed Companies.
- Consumer Protection Laws and Regulations.

Unit Five: Monitoring, Investigations, and Crisis Management

- Developing a Compliance Monitoring and Testing Plan.
- The Role of Internal Audit in Validating Compliance Controls.
- Techniques for Conducting Effective Internal Investigations.
- Managing Regulatory Inquiries, Inspections, and Audits.
- Developing a Compliance Crisis Management and Response Plan.
- Reporting Compliance Issues to Senior Management and the Board.
- Leveraging Technology for Enhanced Compliance Monitoring and Reporting.

FAQ:

Qualifications required for registering to this course?

There are no requirements.

How long is each daily session, and what is the total number of training hours for the course?

This training course spans five days, with daily sessions ranging between 4 to 5 hours, including breaks and interactive activities, bringing the total duration to 20 - 25 training hours.

Something to think about:

In the context of Saudi Arabia's rapid economic transformation under Vision 2030, how can compliance leaders balance fostering innovation with maintaining stringent regulatory adherence?

What unique qualities does this course offer compared to other courses?

This training course distinguishes itself through its specialized and unwavering focus on the unique regulatory and cultural context of the Kingdom of Saudi Arabia. Unlike generic compliance courses, every module, case study, and discussion is tailored to the specific challenges and opportunities within the KSA market, directly aligning with the strategic goals of Vision 2030. The curriculum offers a rare synthesis of technical regulatory knowledge and the critical leadership skills required to drive a culture of integrity. It moves beyond a simple review of laws to explore the practicalities of implementing, managing, and leading a compliance function effectively. Participants will benefit from deep dives into local regulations such as the PDPL and specific CMA and SAMA requirements, providing actionable insights that are immediately applicable. Furthermore, the course emphasizes a strategic perspective, teaching participants not just how to comply, but how to leverage a strong compliance framework as a competitive advantage that builds trust with stakeholders, attracts investment, and ensures sustainable growth in the evolving Saudi economy. The focus is on creating proactive compliance leaders, not just reactive managers.