ISO 37001 Lead Auditor and Compliance Strategy Training Course



21 - 25 Dec 2026

Madrid

5900 € (Per Person)

Ref: #ISO1534_116931

Course Introduction / Overview:

This intensive training course provides a comprehensive framework for mastering the principles and practices of Anti-Bribery Management Systems (ABMS) based on the ISO 37001 standard. In today's global business environment, establishing a robust defense against bribery is not just a matter of legal compliance but a cornerstone of corporate integrity and sustainable growth. This program is meticulously designed to equip participants with the dual expertise of a lead auditor and a compliance strategist. As highlighted by ethics and compliance expert Dr. Joseph E. Murphy in his works on organizational integrity, a proactive compliance culture is the most effective deterrent. This course moves beyond theoretical understanding, focusing on the practical application of audit techniques and the strategic integration of anti-bribery controls into the corporate governance framework. Participants will delve into the complete audit lifecycle, from planning and execution to reporting and follow-up, ensuring they can effectively assess an organization's ABMS. BIG BEN Training Center has developed this curriculum to empower professionals to lead with confidence, manage bribery risks effectively, and champion a culture of transparency and ethical conduct within their organizations, aligning with principles discussed in texts like "Anti-Bribery and Corruption Compliance".

Target Audience / This training course is suitable for:

- Compliance Officers and Managers.
- Internal and External Auditors.
- Legal Counsel and Corporate Lawyers.
- Risk Management Professionals.
- Senior Executives and Board Members.
- Procurement and Supply Chain Managers.
- Human Resources Managers.
- Government Officials and Regulators.
- Consultants specializing in corporate governance and anti-corruption.
- Anyone responsible for implementing or auditing an ABMS.

Target Sectors and Industries:

- Banking and Financial Services.
- Construction and Real Estate.
- Oil, Gas, and Energy Sectors.
- Pharmaceuticals and Healthcare.
- Manufacturing and Heavy Industry.
- Telecommunications and Technology.
- Government Agencies and Public Sector Organizations.
- International Trade and Logistics.
- Professional Services and Consulting Firms.

Target Organizations Departments:

- Legal and Compliance Department.
- Internal Audit Department.
- Risk Management Department.
- Procurement and Contracts Department.
- Finance and Accounting Department.
- Human Resources Department.
- Corporate Governance and Secretariat.
- Operations and Business Development.

Course Offerings:

By the end of this course, the participants will have able to:

- Master the requirements and principles of the ISO 37001 standard.
- Understand the roles and responsibilities of an ABMS lead auditor.
- Plan, conduct, and manage a comprehensive ISO 37001 audit.
- Develop skills in interviewing, evidence gathering, and observation.
- Effectively report audit findings and non-conformities.
- Evaluate the effectiveness of corrective actions and follow-up procedures.
- Integrate anti-bribery controls into a broader compliance strategy.
- Conduct thorough bribery risk assessments for various business processes.
- Advise senior management on improving the organization's ABMS.
- Lead an audit team with professionalism and confidence.

Course Methodology:

The training methodology at BIG BEN Training Center is designed to be highly interactive, practical, and engaging, ensuring participants can apply their learning directly to their professional roles. This course moves beyond traditional lectures by incorporating a blended learning approach that includes detailed presentations, expert-led discussions, and collaborative group activities. A significant portion of the training is dedicated to hands-on, practical exercises, including realistic audit simulations and role-playing scenarios that challenge participants to navigate complex situations they might encounter in a real audit. We will utilize in-depth case studies from various industries to analyze both successful ABMS implementations and compliance failures, drawing out key lessons and best practices. Participants will work in teams to develop audit plans, conduct mock interviews, and draft audit reports, receiving constructive feedback from the instructor and peers. This immersive environment fosters critical thinking and problem-solving skills, ensuring a deep and lasting understanding of the ISO 37001 standard and its strategic application. The focus is on building practical competence, not just theoretical knowledge.

Course Agenda (Course Units):

Unit One: Foundations of Anti-Bribery Management and ISO 37001

- Introduction to bribery and corruption concepts.
- Overview of the ISO 37001:2016 standard and its structure.
- Understanding the context of the organization and stakeholder needs.
- The role of leadership and commitment in an ABMS.
- Establishing the anti-bribery policy and objectives.
- Key principles of risk assessment and management in anti-bribery.
- Legal and regulatory frameworks related to anti-bribery.

Unit Two: Planning and Preparing for an ISO 37001 Audit

- Principles of auditing and the role of a lead auditor.
- Initiating the audit and defining its scope and objectives.
- Conducting a document review of the ABMS.
- Developing a comprehensive audit plan and schedule.
- Assembling and managing the audit team.
- Creating effective audit checklists and working documents.
- Understanding the importance of audit evidence and sampling techniques.

Unit Three: Conducting the On-Site Audit Activities

- Conducting the opening meeting with management.
- Techniques for effective communication and interviewing.
- Gathering and verifying information through observation and records.
- Evaluating operational planning and control measures.
- Assessing due diligence processes for projects and business associates.
- Auditing financial and non-financial controls.
- Identifying and documenting potential non-conformities.

Unit Four: Concluding the Audit and Reporting

- Preparing for and conducting the closing meeting.
- Consolidating audit findings and formulating conclusions.
- Writing a clear, concise, and value-added audit report.
- Classifying non-conformities (major and minor).
- Presenting audit results to top management effectively.
- Understanding the process for handling audit-related disputes.
- Maintaining confidentiality and professional ethics throughout the audit process.

Unit Five: Corrective Actions, Follow-up, and Compliance Strategy

- Evaluating the root cause analysis of non-conformities.
- Reviewing and verifying the effectiveness of corrective actions.
- The role of management review in the ABMS.
- Principles of continual improvement for the anti-bribery system.
- Integrating ISO 37001 into the broader corporate compliance strategy.
- Leveraging the ABMS for enhanced corporate reputation and trust.
- Preparing for third-party certification audits.

FAQ:

Qualifications required for registering to this course?

There are no requirements.

How long is each daily session, and what is the total number of training hours for the course?

This training course spans five days, with daily sessions ranging between 4 to 5 hours, including breaks and interactive activities, bringing the total duration to 20 - 25 training hours.

Something to think about:

What unique qualities does this course offer compared to other courses?

Beyond regulatory compliance, how can an organization leverage its ISO 37001 certification to build a tangible competitive advantage in the global marketplace?

What unique qualities does this course offer compared to other courses?

This training course distinguishes itself through its unique dual-focus curriculum, which seamlessly integrates the technical skills of a lead auditor with the strategic mindset of a compliance leader. Unlike programs that concentrate solely on the mechanics of auditing, this course emphasizes how to leverage the ISO 37001 framework as a strategic tool for building a resilient and ethical corporate culture. We move beyond the checklist approach to auditing by embedding complex, real-world case studies that challenge participants to apply critical thinking to nuanced ethical dilemmas and systemic compliance weaknesses. The curriculum is designed not just to teach the standard, but to cultivate a deep understanding of how to implement and sustain an effective Anti-Bribery Management System within the complex realities of a modern organization. Our emphasis on practical application, through extensive role-playing and audit simulations, ensures that participants leave with the confidence and competence to lead an audit and to advise senior management on strengthening their organization's overall governance and integrity framework, turning compliance from a cost center into a value-generating asset.